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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER  
12758-023001

U.S. APPLICATION NO. (If Known, see 37 CFR 1.5)

09/806341

INTERNATIONAL APPLICATION NO.  
PCT/DE99/02960INTERNATIONAL FILING DATE  
16 September 1999PRIORITY DATE CLAIMED  
30 September 1998

TITLE OF INVENTION

FILTERING OF A RECEIVE FREQUENCY BAND

APPLICANT(S) FOR DO/EO/US

Edgar Bolin, Uwe Schwark, Bernd Bienek and Yusuf Kalayci

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
  4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 16 below concern other documents or information included:**
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  14. ☒ A substitute specification.
  15. ☐ A change of power of attorney and/or address letter.
  16. ☐ Other items or information:

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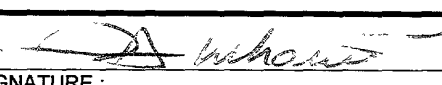
March 29, 2001

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U.S. APPLICATION NO. (UNKNOWN) <b>097/806341</b>		INTERNATIONAL APPLICATION NO. PCT/DE99/0260		ATTORNEY'S DOCKET NUMBER 12758-023001	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee ( 37 CFR 1.492(a)(1)-(5) ):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1000</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710</b>  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690</b>  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100</b>  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				<b>CALCULATIONS PTO USE ONLY</b>	
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Claims	Number Filed	Number Extra	Rate		
Total Claims	12 - 20 =	0	x \$18		
Independent Claims	2 - 3 =	0	x \$80		
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$270		
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<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
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Paul A. Pysher FISH & RICHARDSON P.C. 225 Franklin Street Boston, MA 02110-2804 (617) 542-5070 phone (617) 542-8906 facsimile			<div style="text-align: center;">             SIGNATURE :            F. A. Lichance - Paul A. Pysher            NAME            46382 -40,780            REGISTRATION NUMBER         </div>		

09/806341

Attorney's Docket No.: 12758-023001 / 1998P02830WOUS

JCO3/Rec'd PCT/PTO 29 MAR 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Edgar Bolin et al.                      Art Unit : Unknown  
Serial No. : Unassigned                              Examiner : Unknown  
Filed : Herewith  
Title : FILTERING OF A RECEIVE FREQUENCY BAND

**Box PCT**

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PRELIMINARY AMENDMENT

Prior to examination, please amend the application as follows:

In the specification:

Please replace the specification as filed in the PCT with the attached substitute specification labeled "Amended Version 02-21-2001".

In the claims:

Please cancel claims 1-15.

Please add claims 16-27.

- 16. A method for receiving transmitted signals which can be transmitted in various subfrequency bands (a, b, c, d) of a receive frequency band, in which
- a first signal frequency band containing the transmitted signals is filtered out by adding a carrier frequency to the receive frequency band and by prefiltering of the receive frequency band,

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March 29, 2001

Samantha Bell

Samantha Bell

- a frequency baseband containing the transmitted signals is generated by adding an intermediate frequency to the first signal frequency band and by demodulating the first signal frequency band, and
  - at least one second signal frequency band containing the transmitted signals is filtered out of the frequency baseband by post-filtering,
- in which the carrier frequency and/or the intermediate frequency are matched to one or more filter parameters during the post-filtering in such a manner that the desired subfrequency band is available as second frequency band, in which the information contained in the second signal frequency band is digitized, in which a part of the post-filtering is performed as fine filtering on the digitized information in order to obtain the transmitted signals in digital form, and in which the digital part of the post-filtering is also matched to the carrier frequency and/or the intermediate frequency.

17. The method as claimed in claim 1, in which during the post-filtering, a low-pass filter (8) or a high-pass filter or a high-pass/low-pass filter combination is used, the cut-off frequency of which or the cut-off frequencies of which are matched to the carrier frequency and/or the intermediate frequency in such a manner that the cut-off frequency or the cut-off frequencies, respectively, separate the desired subfrequency band from all neighboring frequency bands which may still be present in the frequency baseband.

18. The method as claimed in claim 1, in which the second signal frequency band is amplified after the post-filtering has been performed at least partially.

19. The method as claimed in claim 1, in which the carrier frequency for the prefiltering is set in such a manner that one or more neighboring frequency bands of the desired subfrequency band are already split off during prefiltering.

20. The method as claimed in claim 1, in which the first signal frequency band present in analog form is first digitized and the frequency baseband is generated by digital demodulation.

21. The method as claimed in claim 1, in which, after the generation of the frequency baseband, a high-pass filtering or a combination of high-pass and low-pass filtering is performed in order to filter out at least one subfrequency band which is either in the positive or in the negative frequency range of the frequency baseband, and in which the subfrequency band filtered out is digitized and is transposed by digital conversion into a frequency range which contains the zero frequency value.

22. A receiver for receiving transmitted signals which can be transmitted to the receiver in various subfrequency bands (a, b, c, d) of a receive frequency band, comprising

- a first oscillator (15) for inserting a carrier frequency into a receive path of the receive frequency band,
- a prefilter (4) which is arranged in the receive path in order to filter a first signal frequency band containing the transmitted signals out of the receive frequency band with the inserted carrier frequency,
- a second oscillator (16) for inserting an intermediate frequency into a first signal path of the first signal frequency band,
- a demodulator (7) which is arranged in the first signal path in order to demodulate the signal frequency band with the inserted intermediate frequency and to generate a frequency baseband which contains the transmitted signals, and
- a post-filter (8) which is arranged in a base path of the frequency baseband in order to filter a second signal frequency band containing the transmitted signals out of the frequency baseband,

in which a common frequency and post-filter control (18) of the post-filter (8) and of the first oscillator (15) and/or the second oscillator (16) is provided in order to match the carrier frequency and/or the intermediate frequency to one or more filter parameters of the post-filter (8) in such a manner that the desired subfrequency band is available as second signal frequency band, in which a second signal band amplifier (9, 10) for amplifying the second signal frequency band is arranged after the post-filter or, respectively, after the first part (8) of the post-filter in a second signal path of the second signal frequency band in the direction of signal propagation, in which an analog/digital converter (11) is provided in the second signal path in order to digitize

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the information present in the second signal frequency band, in which a digital filter (12) is provided behind the analog/digital converter (11) in the direction of signal propagation in order to filter the transmitted signals out of the digitized information and in which the digital filter (12) can also be driven by the common frequency and post-filter control.

23. The receiver as claimed in claim 7, in which the post-filter (8) exhibits a low-pass filter or a high-pass filter or a high-pass/low-pass filter combination, the cut-off frequency and/or cut-off frequencies of which can be matched to the carrier frequency and/or the intermediate frequency in such a manner that the cut-off frequency or cut-off frequencies separate the desired subfrequency band from all neighboring frequency bands which may still be present in the frequency baseband.

24. The receiver as claimed in claim 8, in which the second signal band amplifier (9, 10) and at least a part (8) of the post-filter are arranged in a common integrated circuit.

25. The receiver as claimed in claim 8, in which the second signal path exhibits a bypass (14) for unamplified forwarding of the second signal frequency band, which is connected in parallel with the second signal band amplifier (9, 10).

26. The receiver as claimed in claim 7, in which the demodulator (7) and at least a part (8) of the post-filter are arranged in a common integrated circuit.

27. The receiver as claimed in claim 7, in which an analog/digital converter is arranged behind the prefilter and in front of the demodulator in the direction of signal propagation and in which the demodulator and the post-filter are constructed for digital signal processing.--

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REMARKS

Applicant amends the claims to remove multiple dependencies and to more particularly and distinctly claim the subject matter of the invention. Applicant also amends claim 1 by incorporating the limitations of claim 5. A similar amendment is made to the independent apparatus claim 7.

Pursuant to Rule 1.121(b)(3), Applicant requests that the attached substitute specification replace the originally filed specification. Applicant includes another version of the substitute specification marked to show revisions.

Applicant has amended the specification by adding headers to delineate various portions of the specification and to remove in the specification to claims. Applicant has also amended the background section to include a discussion of a U.S. patent cited in the international search report. Finally, Applicant amends the summary section of the specification to conform to the claim amendments noted above. These amendments introduce no new matter.

The abstract in the substitute specification is identical to the abstract in the initial PCT specification.


No additional fees are believed to be due in connection with the filing of this amendment. However, if additional fees are due, or if credits are forthcoming, please adjust our Deposit Account No. 06-1050.

Applicant : Siemens Aktiengesellschaft  
Serial No. : Unassigned  
Filed : Herewith  
Page : 6

Attorney's Docket No.: 12758-  
023001 / 1998P02830WOUS

Respectfully submitted,

Date: March 27, 2001

  
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Serial No. : Unassigned  
Filed : Herewith  
Page : 7

Attorney's Docket No.: 12758-  
023001 / 1998P02830WOUS

**Version with markings to show changes made**

In the specification:

The specification has been amended as shown in pages 1-23 of the attached substitute specification labeled "Marked to Show Revisions".

In the claims:

Claims 1-15 have been cancelled.

12758-023001-02830WOUS

**RECEIVE FREQUENCY BAND FILTERING****FIELD OF INVENTION**

The invention relates to a method and a receiver for receiving transmitted signals which can be transmitted in various subfrequency bands of a receive frequency band.

**BACKGROUND**

It is of advantage, especially in radio systems for transmitting transmitted signals by radio but also in line-connected transmission systems, if the transmitted signals can be received in various subfrequency bands of a receive frequency band. Individual subfrequency bands differ from one another with regard to their bandwidth and/or with regard to their frequency in the receive frequency band. By changing from a first subfrequency band to a second subfrequency band which has a greater bandwidth, transmitted signals with a greater transmission rate can be transmitted, for example. In a boundary case, the subfrequency band used is equal to the receive frequency band, i.e. the maximum available frequency bandwidth is utilized by the subfrequency band.

It is known to filter out a signal frequency band containing the transmitted signals by tuning the receive frequency band to a carrier frequency and by filtering the receive frequency band in a receiver. The signal frequency band is then demodulated in a demodulator so that a frequency baseband containing the transmitted signals is available at the output of the demodulator. The frequency baseband is processed further, for example, in that the information contained in it is digitized by means of an

analog/digital converter and conditioned for its intended use as transmitted signals by subsequent fine filtering and/or further processing steps.

Surface acoustic wave filters (SAW filters) are known as filters for filtering a signal frequency band out of the receive frequency band as subfrequency band. However, SAW filters have the disadvantage of being relatively expensive to manufacture or procure.

To filter out subfrequency bands of different bandwidths in a radio-frequency section of a receiver, a plurality of SAW filters and/or SAW filters with different filter bandwidths are used. Each SAW filter or each filter bandwidth, respectively, corresponds to a bandwidth of one of the subfrequency bands which can be filtered out in the receiver. Because of the plurality of SAW filters or the plurality of filter bandwidths, such a receiver is relatively expensive. Furthermore, additional, relatively expensive switching elements, for example PIN diodes, are needed for switching to a different SAW filter or to a different filter bandwidth, respectively, when the subfrequency band is changed.

From US 5, 604, 746, a method and receiver for receiving transmitted signals which can be transmitted in various subfrequency bands of a receive frequency band is known in which a first signal frequency band containing the transmitted signals is filtered out by adding a carrier frequency to the receive frequency band and by prefiltering the receive frequency band, in which a frequency baseband containing the transmitted signals is generated by demodulating the first signal frequency band

by adding an intermediate frequency to the first signal frequency band, and in which at least one second signal frequency band containing the transmitted signals is filtered out of the frequency baseband by post-filtering, the carrier frequency and/or the intermediate frequency being matched to one or more filter parameters in the post-filtering in such a manner that the desired subfrequency band is available as a second frequency band.

It is the object of the present invention to specify a method and a receiver for receiving transmitted signals which can be transmitted in various subfrequency bands of a receive frequency band, the application or use of which requires little hardware costs.

#### **SUMMARY**

In the method according to the invention, a first signal frequency band containing the transmitted signals is filtered out by adding a carrier frequency to a receive frequency band and by prefiltering of the receive frequency band. A frequency baseband, the bandwidth of which preferably essentially corresponds to the bandwidth of the first signal frequency band and which contains the transmitted signals is generated by adding an intermediate frequency to the first signal frequency band and by demodulating the first signal frequency band. A second signal frequency band containing the transmitted signals is then filtered out of the baseband by post-filtering. The carrier frequency and/or the intermediate frequency are matched to one or more filter parameters during the post-filtering in such a manner that the desired subfrequency band is available as second signal frequency band. After that, the information contained in the first

signal frequency band is digitized. In particular, the demodulation and the post-filtering is then performed as fine filtering on the digitized information. In this process, the post-filtering is matched to the carrier frequency and/or the intermediate frequency. At the device end, a digital filter is thus provided in order to filter the transmitted signals out of the digitized information. The digital filter can be driven by the common frequency and post-filter control of the pre-filter and post-filter.

According to a core concept of the invention, the desired subfrequency band is filtered out by combined pre- and post-filtering with matched filter frequencies or filter parameters during the prefiltering and post-filtering. An essential advantage of this concept is that during the prefiltering, a filter having a fixed invariable filter bandwidth can be used. This makes it possible to save costs which, on the other hand, do not occur in the same magnitude during post-filtering since the filtering of the desired subfrequency band out of the baseband can be implemented much less expensively.

The receiver according to the invention has a first oscillator for coupling a carrier frequency into a receiving path of the receive frequency band. In the receiving path, a prefilter is arranged for filtering a first signal frequency band containing the transmitted signals out of the receive frequency band matched to the carrier frequency. Furthermore, a second oscillator is provided for coupling an intermediate frequency into a first signal path of the first signal frequency band. In the first signal path, a demodulator is arranged for

demodulating the first signal frequency bandwidth with the inserted intermediate frequency and generating a frequency baseband, the bandwidth of which essentially corresponds to the bandwidth of the first signal frequency band and which contains the transmitted signals. In a base path of the frequency baseband, a post-filter is arranged for filtering a second signal frequency band containing the transmitted signals out of the frequency baseband. A common frequency and post-filter control of the post-filter and of the first oscillator and/or the second oscillator is provided for tuning the carrier frequency and/or the intermediate frequency with one or more filter parameters of the post-filter in such a manner that the desired subfrequency band is available as the second signal frequency band. A common frequency and post-filter control is not only a central control but also a distributed control, a control unit of the post-filter supplying, for example, information to a control unit of the first and/or second oscillator and/or conversely. Furthermore, the information contained in the first signal frequency band is digitized. In particular, the demodulation and post-filtering is then performed as fine filtering on the digitized information. In this process, the post-filtering is matched to the carrier frequency and/or the intermediate frequency. At the device end, a digital filter is thus provided in order to filter the transmitted signals out of the digitized information. The digital filter can be driven by the common frequency and post-filter control of the pre-filter and post-filter.

The post-filter preferably exhibits a low-pass filter or a high-pass filter or a high-pass/low-pass filter

combination, the cut-off frequency of which or cut-off frequencies of which of which are matched to the carrier frequency and/or the intermediate frequency in such a manner that the cut-off frequency or cut-off frequencies separate the desired subfrequency band from all neighboring frequency bands which may still be present in the frequency baseband. Low-pass filters and/or high-pass filters for filtering the frequency baseband can be inexpensively implemented, for example by means of cascaded RC sections which are components of a single integrated circuit. However, other solutions known per se can also be selected, for example operational amplifiers which have feedback with RC sections.

In particular, the intermediate frequency which determines the zero frequency value of the frequency baseband is selected in such a manner that the zero frequency value is in the center of the desired subfrequency band.

When the method according to the invention is carried out, only a single subfrequency band, namely the desired subfrequency band, is filtered out in many cases. In a variant, however, a plurality of desired subfrequency bands is filtered out.

In particular, a high-pass filter or a low-pass/high-pass filter combination is used in the post-filtering in such a manner for filtering out the single desired subfrequency band or one of the desired subfrequency bands which is not symmetric to the zero frequency of the frequency baseband. If correspondingly, both a low-pass filter and a high-pass filter and/or a

high-pass/low-pass filter combination is used during the post-filtering, both a desired subfrequency band is filtered out which is symmetric to the zero frequency value and one or more subfrequency bands which are not symmetric to the zero frequency value of the frequency baseband are filtered out.

If the desired subfrequency band or one of the desired subfrequency bands is filtered out by high-pass filtering of the frequency baseband or a combination of high-pass and low-pass filtering of the frequency baseband, the desired subfrequency band being either in the positive or in the negative frequency range of the frequency baseband, the subfrequency band filtered out is preferably digitized after the filtering-out and transposed by the digital conversion into a frequency range which contains the zero frequency value. In particular, the desired subfrequency band thus obtained is then symmetric to the zero frequency value.

In a preferred further development, the carrier frequency for the prefiltering is set in such a manner that one or more neighboring frequency bands of the desired subfrequency band are already split off during the prefiltering. In particular, the combination of the preset filter frequency bandwidth used during the prefiltering and the arbitrarily adjustable carrier frequency which is coupled into the receiving path acts like a freely adjustable band-pass filter. In this manner, either all neighboring frequency bands above or all neighboring frequency bands below the desired subfrequency band can already be split off by the prefiltering. This is of



advantage, in particular, when neighboring frequency bands having a greater receive field strength than the desired subfrequency band are received. However, adjusting the limits of the prefilter frequency range, i.e. adjusting the band-pass cut-off frequencies, is preferably also matched to the choice of intermediate frequency and the choice of the filter parameter or parameters of the post-filter.

It is known per se to provide on the signal path between the prefilter and the demodulator an amplifier arrangement which optimally matches the level of the frequency band filtered out during the prefiltering to the demodulator. If then there is in the first signal frequency band a plurality of subfrequency bands, one of which is the desired subfrequency band, and if the desired subfrequency band has a lower field strength or a lower level than one or more of the neighboring frequency bands, it is advantageous if the second signal frequency band is amplified after the post-filtering has been performed at least partially. In this manner, the level of the desired subfrequency band which is low due to the matching to the demodulator is raised, preferably to a level value which is matched to any subsequent processing stages. At the device end, a second signal band amplifier for amplifying the second signal frequency band is therefore arranged in a second signal path of the second signal frequency band following the post-filter or, respectively, following the first part of the post filter in the direction of signal propagation in a further development.

In a further development, the second signal path exhibits a bypass for unamplified forwarding of the second signal frequency band, which is connected in parallel with the second signal band amplifier.

The second signal band amplifier is composed of a plurality of individual amplifiers, in particular, for example of two individual amplifiers having a low-noise input amplifier stage.

An embodiment of the receiver according to the invention is especially preferred in which the second signal band amplifier which may be present and at least a part of the post-filter are arranged in a common integrated circuit.

It is also especially preferred if the demodulator and at least part of the post-filter are arranged in a common integrated circuit. The correspondingly high degree of integration saves production costs and space.

At the device end, the analog/digital converter is then arranged behind the prefilter and in front of the digital demodulator in the direction of signal propagation.

A digital demodulator, especially a digital I/Q demodulator, performs a digital down-conversion of the first signal frequency band, for example from frequency ranges around 10 MHz into the frequency baseband.

The method according to the invention is not restricted to receive frequency bands, the subfrequency bands of which are in non-overlapping frequency ranges. Instead, the method can also be used in the case of

subfrequency bands which overlap one another. For example, subfrequency bands according to the OFDM (Orthogonal Frequency Division Multiplex) modulation method overlap. However, the field strength of the next subfrequency band adjoining a certain subfrequency band is approximately zero at the frequency value at which the certain subfrequency band has its maximum field strength. In this sense, the individual subfrequency bands are orthogonal subfrequency bands. When the receive frequency band is sampled, the certain subfrequency band can then be sampled in the area of its peak so that, at the most only small signal components and in the ideal case no signal components of adjacent subfrequency bands are also detected. Since there are no distinct frequency boundaries of the individual subfrequency bands in the case of subfrequency bands which overlap, the cut-off frequencies of the subfrequency bands are replaced by meaningful separating frequencies at which separation takes place between a filtered-out frequency range and frequency ranges which are cut off during the filtering of the receive frequency band and the further frequency bands filtered out of the receive frequency band. Separating frequencies must be selected in such a manner that the frequency ranges filtered out contain the desired subfrequency bands and the desired subfrequency band in useable form, i.e. the information contained therein can be used and the signal components of the frequency ranges cut off do not impede the evaluation and/or render it impossible.

The present invention will now be explained in greater detail with reference to exemplary embodiments. However, it is not restricted to these exemplary

embodiments. In the description which follows, reference is made to the attached drawing in the individual figures of which:

**BRIEF DESCRIPTION OF THE FIGURES**

Figure 1 shows an especially preferred embodiment of a receiver according to the invention, and

Figure 2 shows the receive field strengths of adjacent subfrequency bands of a receive frequency band.

Figure 3 shows the receive frequency band of Figure 2 after a carrier frequency has been added or inserted, respectively,

Figure 4 shows the first signal frequency band filtered out of the receive frequency band of Figure 3,

Figure 5 shows the frequency baseband generated from the first signal frequency band of Figure 4, and

Figure 6 shows the desired subfrequency band filtered out of the frequency baseband of Figure 5.

**DETAILED DESCRIPTION**

Figure 1 shows a receiver according to the invention for receiving radio signals which are transmitted in a future radio system, the UMTS (Universal Mobile Telecommunication System). For certain operating modes, for example the uncoordinated operation of a multiplicity of mobile telephones, frequency bands of limited bandwidths are available. In the example shown, the receive frequency band has a frequency bandwidth of 4.096

MHz. The carrier frequencies for the radio transmission of the transmitted signals are in the range of 2 GHz.

The receiver shown in Figure 1 has at its input first an input amplifier 1 and an input filter 2 in the direction of signal propagation as is known from the prior art. The input filter 2 is used for coarse filtering out of the frequency range used in the UMTS. In the direction of signal propagation, the input filter 2 is followed by carrier frequency insertion 3 at which the respective carrier frequency generated by a carrier frequency oscillator 15 is inserted into the signal propagation path.

In the direction of signal propagation, the carrier frequency insertion 3 is first followed by a surface acoustic wave (SAW) filter 4, two series-connected LNAs (Low Noise Amplifiers) 5, 6 and an I/Q (in-phase/quadrature) demodulator 7. The I/Q demodulator 7 is provided with an intermediate frequency which specifies the zero frequency value of the frequency baseband which is generated by the I/Q demodulator 7 by demodulating a frequency band present at its input by an intermediate-frequency oscillator 16.

At the output end of the I/Q demodulators 7, a section of the signal propagation path follows in which first a variable low-pass filter 8 is arranged. This is followed by a series circuit of two further LNAs 9, 10, a bypass 14 which allows output signals of the low-pass filter 8 to be forwarded unamplified to the analog/digital (A/D) converter 11 following it in the direction of signal propagation being connected in parallel to the LNAs 9, 10.

The A/D converter 11 is followed by a digital filter 12 and then by a Rake combiner 13 for combining individual components of the receive signal which have been received by the receiver with time offset, for example due to multi-path propagation.

The LNAs 5, 6 and the LNAs 9, 10 are driven by an automatic amplifier control 20. The amplifier control 20 firstly drives the LNAs 5, 6 in such a manner that the output level of the LNA 6 is optimally matched to the I/Q demodulator 7. As a result, a sufficiently high output level is achieved at the output end of the I/Q demodulator 7 and, on the other hand, overdriving of the I/Q demodulator 7 is prevented. Furthermore, the LNAs 9, 10 are driven by the automatic amplifier control 20 in such a manner that the optimum input level is present at the A/D converter 11. If the output level of the low-pass filter 8 is sufficiently high, the signal is forwarded directly to the A/D converter 11 via the bypass 14 without amplification. For this purpose, switching means, not shown in greater detail, are provided which are also driven by the automatic amplifier control 20.

A combined frequency and post-filter control drives the carrier frequency oscillator 15, the intermediate-frequency oscillator 16, the low-pass filter 8 and the digital filter 12, in such a manner that transmitted signals of a desired subfrequency band are present at the output of the digital filter 12. For this purpose, a device, not shown, of the frequency and post-filter control 18 provides both the frequency and the bandwidths of the desired subfrequency band. The signal

processing controlled by the frequency and post-filter control 18 will be discussed in greater detail below with reference to an exemplary embodiment.

The low-pass filter 8 consists of cascaded RC sections which are arranged in a common integrated circuit with the I/Q demodulator 7, the LNAs 9, 10 and the bypass 14 on one chip. The entire integrated circuit can thus be produced inexpensively in large numbers with little additional cost for the RC sections. The entire filter combination consisting of the SAW filter 4, the low-pass filter 8 and the digital filter 12 can thus be produced less expensively than in the prior art.

The receiver shown in Figure 1 can be used for filtering out subfrequency bands having channel bandwidths of 0.256 MHz, 0.512 MHz, 1.024 MHz, 2.048 MHz and 4.096 MHz, a subfrequency band having a bandwidth of 4.096 MHz corresponding to the entire receive frequency band. Since the bandwidth of all possible subfrequency bands can be achieved by dividing by means of one integral number on the receive frequency bandwidth, the hardware complexity in the digital area of the receiver can be kept down. In particular, the operating mode of the digital area can be simply adapted to a lower subfrequency bandwidth by reducing the clock rate to the corresponding fraction.

Referring to Figure 2 to Figure 6, the filtering-out of a subfrequency band in the receiver shown in Figure 1 is now explained by way of example. Figure 2 shows a receive frequency band of frequency bandwidth  $W_R$  with a total of four subfrequency bands in each case of frequency bandwidth  $W_{Sub}$ , and other frequency bands in which no

transmission of transmitted signals is to be expected even if the radio channel is changed. The subfrequency bands are designated by letters a to d. In the exemplary embodiment, subfrequency band c is to be filtered out. Subfrequency band c corresponds to a transmission channel via which, for example, voice data are transmitted from a base station to a mobile telephone. The diagram according to Figure 2 represents a snapshot. The frequency and the frequency bandwidth  $W_{\text{sub}}$  of the desired subfrequency band can change by a change in the operating situation in the entire transmission system or in part-areas of the transmission system, the UMTS in the example. In particular, subfrequency bands with different frequency bandwidths  $W_{\text{sub}}$  can also be present at another point in time within the limits of the subfrequency bands a to d in the receive frequency band.

For the sake of simplicity, the text which follows is based on the assumption that the frequency bandwidth  $W_R$  of the receive frequency band is 4 MHz, that the frequency bandwidths  $W_{\text{sub}}$  of the individual subfrequency bands are in each case 1 MHz and that the receive frequency band is transmitted to a receiver by means of a carrier frequency of 1.900 GHz. During the transmission to the receiver, the receive frequency band extends within the frequency range of 2.000 GHz to 2.004 GHz. The desired subfrequency band is within the frequency range of 2.002 GHz to 2.003 GHz.

The field strengths of the individual subfrequency bands a to d are shown in Figure 2. The diagram shows the unfavorable situation, from the point of view of processing, that the field strength of the desired



subfrequency band c is less than the field strengths of the two closest adjacent subfrequency bands b, d. During the processing of the receive signal, the carrier frequency is inserted into the signal propagation path at the carrier frequency insertion point 3. It is normally attempted to insert precisely the carrier frequency known to the receiver as the carrier frequency which was used in transmitting the receive signal. In the present case, however, a receive carrier frequency is inserted which is dematchd with respect to the transmit carrier frequency of 1.900 GHz. For this purpose, the frequency and post-filter control 18 drives the carrier frequency oscillator 15 in such a manner that it generates a receive carrier frequency of 1.902 GHz. This receive carrier frequency is inserted at the carrier frequency insertion point 3.

Due to the insertion of the receive carrier frequency and subtraction, a receive frequency band with reduced frequency is formed in which the desired subfrequency band c is within the frequency range of 100 MHz to 101 MHz.

The SAW filter 4 is set unalterably to a frequency bandwidth which corresponds to the frequency bandwidth of the receive frequency band. In the example, this is a frequency bandwidth of 4 MHz. The SAW filter 4 filters a frequency band out of the frequency band present at its input, the lower boundary value of which is equal to 100 MHz. In the present example, the SAW filter 4 thus filters out a first signal frequency band which is within the frequency range of between 100 MHz and 104 MHz. The subfrequency bands a, b are thus already no longer present

in the first signal frequency band (Figure 4). The figure also shows the envelope curve of the SAW filter 4.

The receive carrier frequency was selected in such a manner that the immediately adjacent subfrequency band b with the greater field strength was split off from the desired subfrequency band c. If the field strength of the subfrequency band d had been greater than the field strength of the subfrequency band b, the receive carrier frequency would have been set to the value of 1.899 GHz so that subfrequency band b would have been split off.

The first signal frequency band is amplified by the automatic amplifier control in such a manner that the field strength of subfrequency band d corresponds to the optimum level of the I/Q demodulator 7 at the input of the I/Q demodulator. To demodulate the receive signal or the first signal frequency band, respectively, the frequency and post-filter control 18 drives the intermediate frequency oscillator 16 in such a manner that an intermediate frequency of 100.5 MHz is provided to the I/Q demodulator 7. In general, the intermediate frequency must be selected in the exemplary embodiment in such a manner that the frequency value in the center of the desired subfrequency band is equal to the intermediate frequency. This is because, during the demodulation, a frequency baseband is generated which extends around the zero frequency value and the frequency bandwidth of which is equal to the frequency bandwidth of the first signal frequency band due to the prefiltering.

In the present exemplary embodiment, a frequency baseband is generated in which subfrequency bands which

are still present and adjacent frequency ranges having level values of greater than zero are within the frequency range of between -0.5 MHz and +3.5 MHz (see Figure 5). The boundary position of the desired subfrequency band is then utilized in the next processing step. In this step, a frequency range, the value of which is below the cut-off frequency set in the low-pass filter 8, is filtered out of the frequency baseband in the low-pass filter 8. In the present case, the cut-off frequency of the low-pass filter 8 is set to a value of 0.5 MHz in that the frequency and post-filter control 18 appropriately drives the low-pass filter 8. As a result, only the desired subfrequency band c is present as rest of the frequency baseband at the output end (Figure 6). The output signal is then supplied to the LNAs 9, 10 and its level is amplified to the optimum value for the A/D converter 11.

The digitized information obtained from the desired subfrequency band c is subjected to fine filtering in the digital filter 12, corrections being performed on the digitized information in accordance with the bandwidth of the subfrequency band c in order to obtain the desired digital transmit signal. The common frequency and post-filter control 18 appropriately drives the digital filter 12 for this purpose. The digitized transmit signals are supplied to the Rake combiner 13.

In a further development of the receiver described in the exemplary embodiment, a band-pass filter or a high-pass filter is also used in addition to a low-pass filter in the post-filtering in order to filter out the subfrequency bands most closely adjacent to the desired

subfrequency band or the subfrequency band most closely adjacent to the desired subfrequency band. From the signals or field strengths, respectively, of these subfrequency bands most closely adjacent or the subfrequency band most closely adjacent, a total power can be determined. The total power determined makes it possible to optimize the position of the prefilter implemented by the SAW filter 4 for the reception of the desired subfrequency band.

Having described the invention, and a preferred embodiment thereof, what we claim as new and secured by letters patent is:

Description

## RECEIVE FREQUENCY BAND FILTERING

### FIELD OF INVENTION

The invention relates to a method and a receiver for receiving transmitted signals which can be transmitted in various subfrequency bands of a receive frequency band.

### BACKGROUND

It is of advantage, especially in radio systems for transmitting transmitted signals by radio but also in line-connected transmission systems, if the transmitted signals can be received in various subfrequency bands of a receive frequency band. Individual subfrequency bands differ from one another with regard to their bandwidth and/or with regard to their frequency in the receive frequency band. By changing from a first subfrequency band to a second subfrequency band which has a greater bandwidth, transmitted signals with a greater transmission rate can be transmitted, for example. In a boundary case, the subfrequency band used is equal to the receive frequency band, i.e. the maximum available frequency bandwidth is utilized by the subfrequency band.

It is known to filter out a signal frequency band containing the transmitted signals by tuning the receive frequency band to a carrier frequency and by filtering the receive frequency band in a receiver. The signal frequency band is then demodulated in a demodulator so that a frequency baseband containing the transmitted signals is available at the output of the demodulator. The frequency baseband is processed further, for example, in that the

information contained in it is digitized by means of an analog/digital converter and conditioned for its intended use as transmitted signals by subsequent fine filtering and/or further processing steps.

Surface acoustic wave filters (SAW filters) are known as filters for filtering a signal frequency band out of the receive frequency band as subfrequency band. However, SAW filters have the disadvantage of being relatively expensive to manufacture or procure.

To filter out subfrequency bands of different bandwidths in a radio-frequency section of a receiver, a plurality of SAW filters and/or SAW filters with different filter bandwidths are used. Each SAW filter or each filter bandwidth, respectively, corresponds to a bandwidth of one of the subfrequency bands which can be filtered out in the receiver. Because of the plurality of SAW filters or the plurality of filter bandwidths, such a receiver is relatively expensive. Furthermore, additional, relatively expensive switching elements, for example PIN diodes, are needed for switching to a different SAW filter or to a different filter bandwidth, respectively, when the subfrequency band is changed.

From US 5, 604, 746, a method and receiver for receiving transmitted signals which can be transmitted in various subfrequency bands of a receive frequency band is known in which a first signal frequency band containing the transmitted signals is filtered out by adding a carrier frequency to the receive frequency band and by prefiltering the receive frequency band, in which a frequency baseband containing the transmitted signals is

generated by demodulating the first signal frequency band by adding an intermediate frequency to the first signal frequency band, and in which at least one second signal frequency band containing the transmitted signals is filtered out of the frequency baseband by post-filtering, the carrier frequency and/or the intermediate frequency being matched to one or more filter parameters in the post-filtering in such a manner that the desired subfrequency band is available as a second frequency band.

It is the object of the present invention to specify a method and a receiver for receiving transmitted signals which can be transmitted in various subfrequency bands of a receive frequency band, the application or use of which requires little hardware costs.

~~This object is achieved by a method having the features of claim 1 and by a receiver having the features of claim 6. Further developments are the subject matter of the respective dependent claims.~~

## SUMMARY

In the method according to the invention, a first signal frequency band containing the transmitted signals is filtered out by adding a carrier frequency to a receive frequency band and by prefiltering of the receive frequency band. A frequency baseband, the bandwidth of which preferably essentially corresponds to the bandwidth of the first signal frequency band and which contains the transmitted signals is generated by adding an intermediate frequency to the first signal frequency band and by demodulating the first signal frequency band. A second signal frequency band containing the transmitted signals

is then filtered out of the baseband by post-filtering. The carrier frequency and/or the intermediate frequency are matched to one or more filter parameters during the post-filtering in such a manner that the desired subfrequency band is available as second signal frequency band. After that, the information contained in the first signal frequency band is digitized. In particular, the demodulation and the post-filtering is then performed as fine filtering on the digitized information. In this process, the post-filtering is matched to the carrier frequency and/or the intermediate frequency. At the device end, a digital filter is thus provided in order to filter the transmitted signals out of the digitized information. The digital filter can be driven by the common frequency and post-filter control of the pre-filter and post-filter.

According to a core concept of the invention, the desired subfrequency band is filtered out by combined pre- and post-filtering with matched filter frequencies or filter parameters during the prefiltering and post-filtering. An essential advantage of this concept is that during the prefiltering, a filter having a fixed invariable filter bandwidth can be used. This makes it possible to save costs which, on the other hand, do not occur in the same magnitude during post-filtering since the filtering of the desired subfrequency band out of the baseband can be implemented much less expensively.

The receiver according to the invention has a first oscillator for coupling a carrier frequency into a receiving path of the receive frequency band. In the receiving path, a prefilter is arranged for filtering a



first signal frequency band containing the transmitted signals out of the receive frequency band matched to the carrier frequency. Furthermore, a second oscillator is provided for coupling an intermediate frequency into a first signal path of the first signal frequency band. In the first signal path, a demodulator is arranged for demodulating the first signal frequency band with the inserted intermediate frequency and generating a frequency baseband, the bandwidth of which essentially corresponds to the bandwidth of the first signal frequency band and which contains the transmitted signals. In a base path of the frequency baseband, a post-filter is arranged for filtering a second signal frequency band containing the transmitted signals out of the frequency baseband. A common frequency and post-filter control of the post-filter and of the first oscillator and/or the second oscillator is provided for tuning the carrier frequency and/or the intermediate frequency with one or more filter parameters of the post-filter in such a manner that the desired subfrequency band is available as the second signal frequency band. A common frequency and post-filter control is not only a central control but also a distributed control, a control unit of the post-filter supplying, for example, information to a control unit of the first and/or second oscillator and/or conversely. Furthermore, the information contained in the first signal frequency band is digitized. In particular, the demodulation and post-filtering is then performed as fine filtering on the digitized information. In this process, the post-filtering is matched to the carrier frequency and/or the intermediate frequency. At the device end, a digital filter is thus provided in order to filter the

transmitted signals out of the digitized information. The digital filter can be driven by the common frequency and post-filter control of the pre-filter and post-filter.

The post-filter preferably exhibits a low-pass filter or a high-pass filter or a high-pass/low-pass filter combination, the cut-off frequency of which or cut-off frequencies of which are matched to the carrier frequency and/or the intermediate frequency in such a manner that the cut-off frequency or cut-off frequencies separate the desired subfrequency band from all neighboring frequency bands which may still be present in the frequency baseband. Low-pass filters and/or high-pass filters for filtering the frequency baseband can be inexpensively implemented, for example by means of cascaded RC sections which are components of a single integrated circuit. However, other solutions known per se can also be selected, for example operational amplifiers which have feedback with RC sections.

In particular, the intermediate frequency which determines the zero frequency value of the frequency baseband is selected in such a manner that the zero frequency value is in the center of the desired subfrequency band.

When the method according to the invention is carried out, only a single subfrequency band, namely the desired subfrequency band, is filtered out in many cases. In a variant, however, a plurality of desired subfrequency bands is filtered out.

In particular, a high-pass filter or a low-pass/high-pass filter combination is used in the post-filtering in such a manner for filtering out the single desired subfrequency band or one of the desired subfrequency bands which is not symmetric to the zero frequency of the frequency baseband. If correspondingly, both a low-pass filter and a high-pass filter and/or a high-pass/low-pass filter combination is used during the post-filtering, both a desired subfrequency band is filtered out which is symmetric to the zero frequency value and one or more subfrequency bands which are not symmetric to the zero frequency value of the frequency baseband are filtered out.

If the desired subfrequency band or one of the desired subfrequency bands is filtered out by high-pass filtering of the frequency baseband or a combination of high-pass and low-pass filtering of the frequency baseband, the desired subfrequency band being either in the positive or in the negative frequency range of the frequency baseband, the subfrequency band filtered out is preferably digitized after the filtering-out and transposed by the digital conversion into a frequency range which contains the zero frequency value. In particular, the desired subfrequency band thus obtained is then symmetric to the zero frequency value.

In a preferred further development, the carrier frequency for the prefiltering is set in such a manner that one or more neighboring frequency bands of the desired subfrequency band are already split off during the prefiltering. In particular, the combination of the preset

filter frequency bandwidth used during the prefiltering and the arbitrarily adjustable carrier frequency which is coupled into the receiving path acts like a freely adjustable band-pass filter. In this manner, either all neighboring frequency bands above or all neighboring frequency bands below the desired subfrequency band can already be split off by the prefiltering. This is of advantage, in particular, when neighboring frequency bands having a greater receive field strength than the desired subfrequency band are received. However, adjusting the limits of the prefilter frequency range, i.e. adjusting the band-pass cut-off frequencies, is preferably also matched to the choice of intermediate frequency and the choice of the filter parameter or parameters of the post-filter.

It is known per se to provide on the signal path between the prefilter and the demodulator an amplifier arrangement which optimally matches the level of the frequency band filtered out during the prefiltering to the demodulator. If then there is in the first signal frequency band a plurality of subfrequency bands, one of which is the desired subfrequency band, and if the desired subfrequency band has a lower field strength or a lower level than one or more of the neighboring frequency bands, it is advantageous if the second signal frequency band is amplified after the post-filtering has been performed at least partially. In this manner, the level of the desired subfrequency band which is low due to the matching to the demodulator is raised, preferably to a level value which is matched to any subsequent processing stages. At the device end, a second signal band amplifier for amplifying

the second signal frequency band is therefore arranged in a second signal path of the second signal frequency band following the post-filter or, respectively, following the first part of the post filter in the direction of signal propagation in a further development.

In a further development, the second signal path exhibits a bypass for unamplified forwarding of the second signal frequency band, which is connected in parallel with the second signal band amplifier.

The second signal band amplifier is composed of a plurality of individual amplifiers, in particular, for example of two individual amplifiers having a low-noise input amplifier stage.

An embodiment of the receiver according to the invention is especially preferred in which the second signal band amplifier which may be present and at least a part of the post-filter are arranged in a common integrated circuit.

It is also especially preferred if the demodulator and at least part of the post-filter are arranged in a common integrated circuit. The correspondingly high degree of integration saves production costs and space.

~~In another embodiment of the present invention, the information contained in the first signal frequency band is digitized. In particular, the demodulation and the post-filtering are then performed as fine filtering of the digitized information. In this arrangement, the post-filtering is matched to the carrier frequency and/or the intermediate frequency. At the device end, a digital~~

~~filter is thus provided in order to filter the transmitted signals out of the digitized information. The digital filter can be controlled by the common frequency and post-filter control of the prefilter and post filter.~~

At the device end, the analog/digital converter is then arranged behind the prefilter and in front of the digital demodulator in the direction of signal propagation.

A digital demodulator, especially a digital I/Q demodulator, performs a digital down-conversion of the first signal frequency band, for example from frequency ranges around 10 MHz into the frequency baseband.

The method according to the invention is not restricted to receive frequency bands, the subfrequency bands of which are in non-overlapping frequency ranges. Instead, the method can also be used in the case of subfrequency bands which overlap one another. For example, subfrequency bands according to the OFDM (Orthogonal Frequency Division Multiplex) modulation method overlap. However, the field strength of the next subfrequency band adjoining a certain subfrequency band is approximately zero at the frequency value at which the certain subfrequency band has its maximum field strength. In this sense, the individual subfrequency bands are orthogonal subfrequency bands. When the receive frequency band is sampled, the certain subfrequency band can then be sampled in the area of its peak so that, at the most only small signal components and in the ideal case no signal components of adjacent subfrequency bands are also detected. Since there are no distinct frequency boundaries of the individual subfrequency bands in the case of subfrequency bands which overlap, the

cut-off frequencies of the subfrequency bands are replaced by meaningful separating frequencies at which separation takes place between a filtered-out frequency range and frequency ranges which are cut off during the filtering of the receive frequency band and the further frequency bands filtered out of the receive frequency band. Separating frequencies must be selected in such a manner that the frequency ranges filtered out contain the desired subfrequency bands and the desired subfrequency band in useable form, i.e. the information contained therein can be used and the signal components of the frequency ranges cut off do not impede the evaluation and/or render it impossible.

The present invention will now be explained in greater detail with reference to exemplary embodiments. However, it is not restricted to these exemplary embodiments. In the description which follows, reference is made to the attached drawing in the individual figures of which:

#### **BRIEF DESCRIPTION OF THE FIGURES**

Figure 1 shows an especially preferred embodiment of a receiver according to the invention, and

Figure 2 shows the receive field strengths of adjacent subfrequency bands of a receive frequency band.

Figure 3 shows the receive frequency band of Figure 2 after a carrier frequency has been added or inserted, respectively,

Figure 4 shows the first signal frequency band filtered out of the receive frequency band of Figure 3,

Figure 5 shows the frequency baseband generated from the first signal frequency band of Figure 4, and

Figure 6 shows the desired subfrequency band filtered out of the frequency baseband of Figure 5.

#### **DETAILED DESCRIPTION**

Figure 1 shows a receiver according to the invention for receiving radio signals which are transmitted in a future radio system, the UMTS (Universal Mobile Telecommunication System). For certain operating modes, for example the uncoordinated operation of a multiplicity of mobile telephones, frequency bands of limited bandwidths are available. In the example shown, the receive frequency band has a frequency bandwidth of 4.096 MHz. The carrier frequencies for the radio transmission of the transmitted signals are in the range of 2 GHz.

The receiver shown in Figure 1 has at its input first an input amplifier 1 and an input filter 2 in the direction of signal propagation as is known from the prior art. The input filter 2 is used for coarse filtering out of the frequency range used in the UMTS. In the direction of signal propagation, the input filter 2 is followed by carrier frequency insertion 3 at which the respective carrier frequency generated by a carrier frequency oscillator 15 is inserted into the signal propagation path.

In the direction of signal propagation, the carrier frequency insertion 3 is first followed by a surface



acoustic wave (SAW) filter 4, two series-connected LNAs (Low Noise Amplifiers) 5, 6 and an I/Q (in-phase/quadrature) demodulator 7. The I/Q demodulator 7 is provided with an intermediate frequency which specifies the zero frequency value of the frequency baseband which is generated by the I/Q demodulator 7 by demodulating a frequency band present at its input by an intermediate-frequency oscillator 16.

At the output end of the I/Q demodulators 7, a section of the signal propagation path follows in which first a variable low-pass filter 8 is arranged. This is followed by a series circuit of two further LNAs 9, 10, a bypass 14 which allows output signals of the low-pass filter 8 to be forwarded unamplified to the analog/digital (A/D) converter 11 following it in the direction of signal propagation being connected in parallel to the LNAs 9, 10. The A/D converter 11 is followed by a digital filter 12 and then by a Rake combiner 13 for combining individual components of the receive signal which have been received by the receiver with time offset, for example due to multi-path propagation.

The LNAs 5, 6 and the LNAs 9, 10 are driven by an automatic amplifier control 20. The amplifier control 20 firstly drives the LNAs 5, 6 in such a manner that the output level of the LNA 6 is optimally matched to the I/Q demodulator 7. As a result, a sufficiently high output level is achieved at the output end of the I/Q demodulator 7 and, on the other hand, overdriving of the I/Q demodulator 7 is prevented. Furthermore, the LNAs 9, 10 are driven by the automatic amplifier control 20 in such a

manner that the optimum input level is present at the A/D converter 11. If the output level of the low-pass filter 8 is sufficiently high, the signal is forwarded directly to the A/D converter 11 via the bypass 14 without amplification. For this purpose, switching means, not shown in greater detail, are provided which are also driven by the automatic amplifier control 20.

A combined frequency and post-filter control drives the carrier frequency oscillator 15, the intermediate-frequency oscillator 16, the low-pass filter 8 and the digital filter 12, in such a manner that transmitted signals of a desired subfrequency band are present at the output of the digital filter 12. For this purpose, a device, not shown, of the frequency and post-filter control 18 provides both the frequency and the bandwidths of the desired subfrequency band. The signal processing controlled by the frequency and post-filter control 18 will be discussed in greater detail below with reference to an exemplary embodiment.

The low-pass filter 8 consists of cascaded RC sections which are arranged in a common integrated circuit with the I/Q demodulator 7, the LNAs 9, 10 and the bypass 14 on one chip. The entire integrated circuit can thus be produced inexpensively in large numbers with little additional cost for the RC sections. The entire filter combination consisting of the SAW filter 4, the low-pass filter 8 and the digital filter 12 can thus be produced less expensively than in the prior art.

The receiver shown in Figure 1 can be used for filtering out subfrequency bands having channel bandwidths

of 0.256 MHz, 0.512 MHz, 1.024 MHz, 2.048 MHz and 4.096 MHz, a subfrequency band having a bandwidth of 4.096 MHz corresponding to the entire receive frequency band. Since the bandwidth of all possible subfrequency bands can be achieved by dividing by means of one integral number on the receive frequency bandwidth, the hardware complexity in the digital area of the receiver can be kept down. In particular, the operating mode of the digital area can be simply adapted to a lower subfrequency bandwidth by reducing the clock rate to the corresponding fraction.

Referring to Figure 2 to Figure 6, the filtering-out of a subfrequency band in the receiver shown in Figure 1 is now explained by way of example. Figure 2 shows a receive frequency band of frequency bandwidth  $W_R$  with a total of four subfrequency bands in each case of frequency bandwidth  $W_{sub}$ , and other frequency bands in which no transmission of transmitted signals is to be expected even if the radio channel is changed. The subfrequency bands are designated by letters a to d. In the exemplary embodiment, subfrequency band c is to be filtered out. Subfrequency band c corresponds to a transmission channel via which, for example, voice data are transmitted from a base station to a mobile telephone. The diagram according to Figure 2 represents a snapshot. The frequency and the frequency bandwidth  $W_{sub}$  of the desired subfrequency band can change by a change in the operating situation in the entire transmission system or in part-areas of the transmission system, the UMTS in the example. In particular, subfrequency bands with different frequency bandwidths  $W_{sub}$  can also be present at another point in

time within the limits of the subfrequency bands a to d in the receive frequency band.

For the sake of simplicity, the text which follows is based on the assumption that the frequency bandwidth  $W_R$  of the receive frequency band is 4 MHz, that the frequency bandwidths  $W_{sub}$  of the individual subfrequency bands are in each case 1 MHz and that the receive frequency band is transmitted to a receiver by means of a carrier frequency of 1.900 GHz. During the transmission to the receiver, the receive frequency band extends within the frequency range of 2.000 GHz to 2.004 GHz. The desired subfrequency band is within the frequency range of 2.002 GHz to 2.003 GHz.

The field strengths of the individual subfrequency bands a to d are shown in Figure 2. The diagram shows the unfavorable situation, from the point of view of processing, that the field strength of the desired subfrequency band c is less than the field strengths of the two closest adjacent subfrequency bands b, d. During the processing of the receive signal, the carrier frequency is inserted into the signal propagation path at the carrier frequency insertion point 3. It is normally attempted to insert precisely the carrier frequency known to the receiver as the carrier frequency which was used in transmitting the receive signal. In the present case, however, a receive carrier frequency is inserted which is dematchd with respect to the transmit carrier frequency of 1.900 GHz. For this purpose, the frequency and post-filter control 18 drives the carrier frequency oscillator 15 in such a manner that it generates a receive carrier

frequency of 1.902 GHz. This receive carrier frequency is inserted at the carrier frequency insertion point 3.

Due to the insertion of the receive carrier frequency and subtraction, a receive frequency band with reduced frequency is formed in which the desired subfrequency band c is within the frequency range of 100 MHz to 101 MHz.

The SAW filter 4 is set unalterably to a frequency bandwidth which corresponds to the frequency bandwidth of the receive frequency band. In the example, this is a frequency bandwidth of 4 MHz. The SAW filter 4 filters a frequency band out of the frequency band present at its input, the lower boundary value of which is equal to 100 MHz. In the present example, the SAW filter 4 thus filters out a first signal frequency band which is within the frequency range of between 100 MHz and 104 MHz. The subfrequency bands a, b are thus already no longer present in the first signal frequency band (Figure 4). The figure also shows the envelope curve of the SAW filter 4.

The receive carrier frequency was selected in such a manner that the immediately adjacent subfrequency band b with the greater field strength was split off from the desired subfrequency band c. If the field strength of the subfrequency band d had been greater than the field strength of the subfrequency band b, the receive carrier frequency would have been set to the value of 1.899 GHz so that subfrequency band b would have been split off.

The first signal frequency band is amplified by the automatic amplifier control in such a manner that the field strength of subfrequency band d corresponds to the

optimum level of the I/Q demodulator 7 at the input of the I/Q demodulator. To demodulate the receive signal or the first signal frequency band, respectively, the frequency and post-filter control 18 drives the intermediate frequency oscillator 16 in such a manner that an intermediate frequency of 100.5 MHz is provided to the I/Q demodulator 7. In general, the intermediate frequency must be selected in the exemplary embodiment in such a manner that the frequency value in the center of the desired subfrequency band is equal to the intermediate frequency. This is because, during the demodulation, a frequency baseband is generated which extends around the zero frequency value and the frequency bandwidth of which is equal to the frequency bandwidth of the first signal frequency band due to the prefiltering.

In the present exemplary embodiment, a frequency baseband is generated in which subfrequency bands which are still present and adjacent frequency ranges having level values of greater than zero are within the frequency range of between -0.5 MHz and +3.5 MHz (see Figure 5). The boundary position of the desired subfrequency band is then utilized in the next processing step. In this step, a frequency range, the value of which is below the cut-off frequency set in the low-pass filter 8, is filtered out of the frequency baseband in the low-pass filter 8. In the present case, the cut-off frequency of the low-pass filter 8 is set to a value of 0.5 MHz in that the frequency and post-filter control 18 appropriately drives the low-pass filter 8. As a result, only the desired subfrequency band c is present as rest of the frequency baseband at the output end (Figure 6). The output signal

is then supplied to the LNAs 9, 10 and its level is amplified to the optimum value for the A/D converter 11.

The digitized information obtained from the desired subfrequency band c is subjected to fine filtering in the digital filter 12, corrections being performed on the digitized information in accordance with the bandwidth of the subfrequency band c in order to obtain the desired digital transmit signal. The common frequency and post-filter control 18 appropriately drives the digital filter 12 for this purpose. The digitized transmit signals are supplied to the Rake combiner 13.

In a further development of the receiver described in the exemplary embodiment, a band-pass filter or a high-pass filter is also used in addition to a low-pass filter in the post-filtering in order to filter out the subfrequency bands most closely adjacent to the desired subfrequency band or the subfrequency band most closely adjacent to the desired subfrequency band. From the signals or field strengths, respectively, of these subfrequency bands most closely adjacent or the subfrequency band most closely adjacent, a total power can be determined. The total power determined makes it possible to optimize the position of the prefilter implemented by the SAW filter 4 for the reception of the desired subfrequency band.

Having described the invention, and a preferred embodiment thereof, what we claim as new and secured by letters patent is:

**CLAIMS**

1. A method for receiving transmitted signals which can be transmitted in various subfrequency bands (a, b, c, d) of a receive frequency band, in which
- a first signal frequency band containing the transmitted signals is filtered out by adding a carrier frequency to the receive frequency band and by prefiltering of the receive frequency band,
  - a frequency baseband containing the transmitted signals is generated by adding an intermediate frequency to the first signal frequency band and by demodulating the first signal frequency band, and
  - at least one second signal frequency band containing the transmitted signals is filtered out of the frequency baseband by post-filtering,
- in which the carrier frequency and/or the intermediate frequency are matched to one or more filter parameters during the post-filtering in such a manner that the desired subfrequency band is available as second frequency band, in which the information contained in the second signal frequency band is digitized, in which a part of the post-filtering is performed as fine filtering on the digitized information in order to obtain the transmitted signals in digital form, and in which the



digital part of the post-filtering is also matched to the carrier frequency and/or the intermediate frequency.

2. The method as claimed in claim 1, in which during the post-filtering, a low-pass filter (8) or a high-pass filter or a high-pass/low-pass filter combination is used, the cut-off frequency of which or the cut-off frequencies of which are matched to the carrier frequency and/or the intermediate frequency in such a manner that the cut-off frequency or the cut-off frequencies, respectively, separate the desired subfrequency band from all neighboring frequency bands which may still be present in the frequency baseband.
3. The method as claimed in claim 1, in which the second signal frequency band is amplified after the post-filtering has been performed at least partially.
4. The method as claimed in claim 1, in which the carrier frequency for the prefiltering is set in such a manner that one or more neighboring frequency bands of the desired subfrequency band are already split off during prefiltering.
5. The method as claimed in claim 1, in which the first signal frequency band present in analog form is first digitized and the frequency baseband is generated by digital demodulation.
6. The method as claimed in claim 1, in which, after the generation of the frequency baseband, a high-pass

filtering or a combination of high-pass and low-pass filtering is performed in order to filter out at least one subfrequency band which is either in the positive or in the negative frequency range of the frequency baseband, and in which the subfrequency band filtered out is digitized and is transposed by digital conversion into a frequency range which contains the zero frequency value.

7. A receiver for receiving transmitted signals which can be transmitted to the receiver in various subfrequency bands (a, b, c, d) of a receive frequency band, comprising
- a first oscillator (15) for inserting a carrier frequency into a receive path of the receive frequency band,
  - a prefilter (4) which is arranged in the receive path in order to filter a first signal frequency band containing the transmitted signals out of the receive frequency band with the inserted carrier frequency,
  - a second oscillator (16) for inserting an intermediate frequency into a first signal path of the first signal frequency band,
  - a demodulator (7) which is arranged in the first signal path in order to demodulate the signal frequency band with the inserted intermediate frequency and to generate a frequency baseband which contains the transmitted signals, and

-a post-filter (8) which is arranged in a base path of the frequency baseband in order to filter a second signal frequency band containing the transmitted signals out of the frequency baseband,

in which a common frequency and post-filter control (18) of the post-filter (8) and of the first oscillator (15) and/or the second oscillator (16) is provided in order to match the carrier frequency and/or the intermediate frequency to one or more filter parameters of the post-filter (8) in such a manner that the desired subfrequency band is available as second signal frequency band, in which a second signal band amplifier (9, 10) for amplifying the second signal frequency band is arranged after the post-filter or, respectively, after the first part (8) of the post-filter in a second signal path of the second signal frequency band in the direction of signal propagation, in which an analog/digital converter (11) is provided in the second signal path in order to digitize the information present in the second signal frequency band, in which a digital filter (12) is provided behind the analog/digital converter (11) in the direction of signal propagation in order to filter the transmitted signals out of the digitized information and in which the digital filter (12) can also be driven by the common frequency and post-filter control.

8. The receiver as claimed in claim 7, in which the post-filter (8) exhibits a low-pass filter or a

high-pass filter or a high-pass/low-pass filter combination, the cut-off frequency and/or cut-off frequencies of which can be matched to the carrier frequency and/or the intermediate frequency in such a manner that the cut-off frequency or cut-off frequencies separate the desired subfrequency band from all neighboring frequency bands which may still be present in the frequency baseband.

9. The receiver as claimed in claim 8, in which the second signal band amplifier (9, 10) and at least a part (8) of the post-filter are arranged in a common integrated circuit.
10. The receiver as claimed in claim 8, in which the second signal path exhibits a bypass (14) for unamplified forwarding of the second signal frequency band, which is connected in parallel with the second signal band amplifier (9, 10).
11. The receiver as claimed in claim 7, in which the demodulator (7) and at least a part (8) of the post-filter are arranged in a common integrated circuit.
12. The receiver as claimed in claim 7, in which an analog/digital converter is arranged behind the prefilter and in front of the demodulator in the direction of signal propagation and in which the demodulator and the post-filter are constructed for digital signal processing.

The invention relates to the filtering of a subfrequency band out of a receive frequency band, in which a carrier frequency for prefiltering the receive frequency band and an intermediate frequency for demodulating the frequency band filtered out during the prefiltering and for generating a frequency baseband are inserted into the signal propagation path, in which the desired subfrequency band is filtered out of the frequency baseband by a post-filtering and in which the carrier frequency, the intermediate frequency and the post-filtering are matched to one another.

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## Description

### Receive frequency band filtering

The invention relates to a method and a receiver for receiving transmitted signals which can be transmitted in various subfrequency bands of a receive frequency band.

It is of advantage, especially in radio systems for transmitting transmitted signals by radio but also in line-connected transmission systems, if the transmitted signals can be received in various subfrequency bands of a receive frequency band. Individual subfrequency bands differ from one another with regard to their bandwidth and/or with regard to their frequency in the receive frequency band. By changing from a first subfrequency band to a second subfrequency band which has a greater bandwidth, transmitted signals with a greater transmission rate can be transmitted, for example. In a boundary case, the subfrequency band used is equal to the receive frequency band, i.e. the maximum available frequency bandwidth is utilized by the subfrequency band.

It is known to filter out a signal frequency band containing the transmitted signals by tuning the receive frequency band to a carrier frequency and by filtering the receive frequency band in a receiver. The signal frequency band is then demodulated in a demodulator so that a frequency baseband containing the transmitted signals is available at the output of the demodulator. The frequency baseband is processed further, for example, in that the information contained in it is digitized by means of an analog/digital converter and conditioned for its intended use as transmitted signals by subsequent fine filtering and/or further processing steps.

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Surface acoustic wave filters (SAW filters) are known as filters for filtering a signal frequency band out of the receive frequency band as subfrequency band. However, SAW filters have the disadvantage of being relatively expensive to manufacture or procure.

To filter out subfrequency bands of different bandwidths in a radio-frequency section of a receiver, a plurality of SAW filters and/or SAW filters with different filter bandwidths are used. Each SAW filter or each filter bandwidth, respectively, corresponds to a bandwidth of one of the subfrequency bands which can be filtered out in the receiver. Because of the plurality of SAW filters or the plurality of filter bandwidths, such a receiver is relatively expensive. Furthermore, additional, relatively expensive switching elements, for example PIN diodes, are needed for switching to a different SAW filter or to a different filter bandwidth, respectively, when the subfrequency band is changed.

It is the object of the present invention to specify a method and a receiver for receiving transmitted signals which can be transmitted in various subfrequency bands of a receive frequency band, the application or use of which requires little hardware costs.

This object is achieved by a method having the features of claim 1 and by a receiver having the features of claim 6. Further developments are the subject matter of the respective dependent claims.

In the method according to the invention, a first signal frequency band containing the transmitted signals is filtered out by adding a carrier frequency to a receive frequency band and by prefiltering of the receive frequency band. A frequency baseband, the bandwidth of which preferably essentially corresponds to the bandwidth of the first signal frequency band and which contains the

transmitted signals is generated by adding an intermediate frequency to the first signal frequency band and by demodulating the first signal frequency band. A second signal frequency band containing the transmitted signals is then filtered out of the baseband by post-filtering. The carrier frequency and/or the intermediate frequency are matched to one or more filter parameters during the post-filtering in such a manner that the desired subfrequency band is available as second signal frequency band.

According to a core concept of the invention, the desired subfrequency band is filtered out by combined pre- and post-filtering with matched filter frequencies or filter parameters during the prefiltering and post-filtering. An essential advantage of this concept is that during the prefiltering, a filter having a fixed invariable filter bandwidth can be used. This makes it possible to save costs which, on the other hand, do not occur in the same magnitude during post-filtering since the filtering of the desired subfrequency band out of the baseband can be implemented much less expensively.

The receiver according to the invention has a first oscillator for coupling a carrier frequency into a receiving path of the receive frequency band. In the receiving path, a prefilter is arranged for filtering a first signal frequency band containing the transmitted signals out of the receive frequency band matched to the carrier frequency. Furthermore, a second oscillator is provided for coupling an intermediate frequency into a first signal path of the first signal frequency band. In the first signal path, a demodulator is arranged for demodulating the first signal frequency bandwidth with the inserted intermediate frequency and generating a frequency baseband, the bandwidth of which essentially corresponds



to the bandwidth of the first signal frequency band and which contains the transmitted signals. In a base path of the frequency baseband, a post-filter is arranged for filtering a second signal frequency band containing the transmitted signals out of the frequency baseband. A common frequency and post-filter control of the post-filter and of the first oscillator and/or the second oscillator is provided for tuning the carrier frequency and/or the intermediate frequency with one or more filter parameters of the post-filter in such a manner that the desired subfrequency band is available as the second signal frequency band. A common frequency and post-filter control is not only a central control but also a distributed control, a control unit of the post-filter supplying, for example, information to a control unit of the first and/or second oscillator and/or conversely.

The post-filter preferably exhibits a low-pass filter or a high-pass filter or a high-pass/low-pass filter combination, the cut-off frequency of which or cut-off frequencies of which of which are matched to the carrier frequency and/or the intermediate frequency in such a manner that the cut-off frequency or cut-off frequencies separate the desired subfrequency band from all neighboring frequency bands which may still be present in the frequency baseband. Low-pass filters and/or high-pass filters for filtering the frequency baseband can be inexpensively implemented, for example by means of cascaded RC sections which are components of a single integrated circuit. However, other solutions known per se can also be selected, for example operational amplifiers which have feedback with RC sections.

In particular, the intermediate frequency which determines the zero frequency value of the frequency baseband is selected in such a manner that the zero

frequency value is in the center of the desired subfrequency band.

When the method according to the invention is carried out, only a single subfrequency band, namely the desired subfrequency band, is filtered out in many cases. In a variant, however, a plurality of desired subfrequency bands is filtered out.

In particular, a high-pass filter or a low-pass/high-pass filter combination is used in the post-filtering in such a manner for filtering out the single desired subfrequency band or one of the desired subfrequency bands which is not symmetric to the zero frequency of the frequency baseband. If correspondingly, both a low-pass filter and a high-pass filter and/or a high-pass/low-pass filter combination is used during the post-filtering, both a desired subfrequency band is filtered out which is symmetric to the zero frequency value and one or more subfrequency bands which are not symmetric to the zero frequency value of the frequency baseband are filtered out.

If the desired subfrequency band or one of the desired subfrequency bands is filtered out by high-pass filtering of the frequency baseband or a combination of high-pass and low-pass filtering of the frequency baseband, the desired subfrequency band being either in the positive or in the negative frequency range of the frequency baseband, the subfrequency band filtered out is preferably digitized after the filtering-out and transposed by the digital conversion into a frequency range which contains the zero frequency value. In particular, the desired subfrequency band thus obtained is then symmetric to the zero frequency value.

In a preferred further development, the carrier frequency for the prefiltering is set in such a manner

that one or more neighboring frequency bands of the desired subfrequency band are already split off during the prefiltering. In particular, the combination of the preset filter frequency bandwidth used during the prefiltering and the arbitrarily adjustable carrier frequency which is coupled into the receiving path acts like a freely adjustable band-pass filter. In this manner, either all neighboring frequency bands above or all neighboring frequency bands below the desired subfrequency band can already be split off by the prefiltering. This is of advantage, in particular, when neighboring frequency bands having a greater receive field strength than the desired subfrequency band are received. However, adjusting the limits of the prefilter frequency range, i.e. adjusting the band-pass cut-off frequencies, is preferably also matched to the choice of intermediate frequency and the choice of the filter parameter or parameters of the post-filter.

It is known per se to provide on the signal path between the prefilter and the demodulator an amplifier arrangement which optimally matches the level of the frequency band filtered out during the prefiltering to the demodulator. If then there is in the first signal frequency band a plurality of subfrequency bands, one of which is the desired subfrequency band, and if the desired subfrequency band has a lower field strength or a lower level than one or more of the neighboring frequency bands, it is advantageous if the second signal frequency band is amplified after the post-filtering has been performed at least partially. In this manner, the level of the desired subfrequency band which is low due to the matching to the demodulator is raised, preferably to a level value which is matched to any subsequent processing stages. At the device end, a second signal band amplifier for amplifying

the second signal frequency band is therefore arranged in a second signal path of the second signal frequency band following the post-filter or, respectively, following the first part of the post filter in the direction of signal propagation in a further development.

In a further development, the second signal path exhibits a bypass for unamplified forwarding of the second signal frequency band, which is connected in parallel with the second signal band amplifier.

The second signal band amplifier is composed of a plurality of individual amplifiers, in particular, for example of two individual amplifiers having a low-noise input amplifier stage.

An embodiment of the receiver according to the invention is especially preferred in which the second signal band amplifier which may be present and at least a part of the post-filter are arranged in a common integrated circuit.

It is also especially preferred if the demodulator and at least part of the post-filter are arranged in a common integrated circuit. The correspondingly high degree of integration saves production costs and space.

In another embodiment of the present invention, the information contained in the first signal frequency band is digitized. In particular, the demodulation and the post-filtering are then performed as fine filtering of the digitized information. In this arrangement, the post-filtering is matched to the carrier frequency and/or the intermediate frequency. At the device end, a digital filter is thus provided in order to filter the transmitted signals out of the digitized information. The digital filter can be controlled by the common frequency and post-filter control of the prefilter and post-filter.

At the device end, the analog/digital converter is then arranged behind the prefilter and in front of the digital demodulator in the direction of signal propagation.

A digital demodulator, especially a digital I/Q demodulator, performs a digital down-conversion of the first signal frequency band, for example from frequency ranges around 10 MHz into the frequency baseband.

The method according to the invention is not restricted to receive frequency bands, the subfrequency bands of which are in non-overlapping frequency ranges. Instead, the method can also be used in the case of subfrequency bands which overlap one another. For example, subfrequency bands according to the OFDM (Orthogonal Frequency Division Multiplex) modulation method overlap. However, the field strength of the next subfrequency band adjoining a certain subfrequency band is approximately zero at the frequency value at which the certain subfrequency band has its maximum field strength. In this sense, the individual subfrequency bands are orthogonal subfrequency bands. When the receive frequency band is sampled, the certain subfrequency band can then be sampled in the area of its peak so that, at the most only small signal components and in the ideal case no signal components of adjacent subfrequency bands are also detected. Since there are no distinct frequency boundaries of the individual subfrequency bands in the case of subfrequency bands which overlap, the cut-off frequencies of the subfrequency bands are replaced by meaningful separating frequencies at which separation takes place between a filtered-out frequency range and frequency ranges which are cut off during the filtering of the receive frequency band and the further frequency bands filtered out of the receive frequency band. Separating frequencies must be selected in such a manner that the frequency ranges filtered out contain the desired

subfrequency bands and the desired subfrequency band in useable form, i.e. the information contained therein can be used and the signal components of the frequency ranges cut off do not impede the evaluation and/or render it impossible.

The present invention will now be explained in greater detail with reference to exemplary embodiments. However, it is not restricted to these exemplary embodiments. In the description which follows, reference is made to the attached drawing in the individual figures of which:

- Figure 1 shows an especially preferred embodiment of a receiver according to the invention, and
- Figure 2 shows the receive field strengths of adjacent subfrequency bands of a receive frequency band.
- Figure 3 shows the receive frequency band of Figure 2 after a carrier frequency has been added or inserted, respectively,
- Figure 4 shows the first signal frequency band filtered out of the receive frequency band of Figure 3,
- Figure 5 shows the frequency baseband generated from the first signal frequency band of Figure 4, and
- Figure 6 shows the desired subfrequency band filtered out of the frequency baseband of Figure 5.

Figure 1 shows a receiver according to the invention for receiving radio signals which are transmitted in a future radio system, the UMTS (Universal

Mobile Telecommunication System). For certain operating modes, for example the uncoordinated operation of a multiplicity of mobile telephones, frequency bands of limited bandwidths are available. In the example shown, the receive frequency band has a frequency bandwidth of 4.096 MHz. The carrier frequencies for the radio transmission of the transmitted signals are in the range of 2 GHz.

The receiver shown in Figure 1 has at its input first an input amplifier 1 and an input filter 2 in the direction of signal propagation as is known from the prior art. The input filter 2 is used for coarse filtering out of the frequency range used in the UMTS. In the direction of signal propagation, the input filter 2 is followed by carrier frequency insertion 3 at which the respective carrier frequency generated by a carrier frequency oscillator 15 is inserted into the signal propagation path.

In the direction of signal propagation, the carrier frequency insertion 3 is first followed by a surface acoustic wave (SAW) filter 4, two series-connected LNAs (Low Noise Amplifiers) 5, 6 and an I/Q (in-phase/quadrature) demodulator 7. The I/Q demodulator 7 is provided with an intermediate frequency which specifies the zero frequency value of the frequency baseband which is generated by the I/Q demodulator 7 by demodulating a frequency band present at its input by an intermediate-frequency oscillator 16.

At the output end of the I/Q demodulators 7, a section of the signal propagation path follows in which first a variable low-pass filter 8 is arranged. This is followed by a series circuit of two further LNAs 9, 10, a bypass 14 which allows output signals of the low-pass filter 8 to be forwarded unamplified to the analog/digital

(A/D) converter 11 following it in the direction of signal propagation being connected in parallel to the LNAs 9, 10. The A/D converter 11 is followed by a digital filter 12 and then by a Rake combiner 13 for combining individual components of the receive signal which have been received by the receiver with time offset, for example due to multi-path propagation.

The LNAs 5, 6 and the LNAs 9, 10 are driven by an automatic amplifier control 20. The amplifier control 20 firstly drives the LNAs 5, 6 in such a manner that the output level of the LNA 6 is optimally matched to the I/Q demodulator 7. As a result, a sufficiently high output level is achieved at the output end of the I/Q demodulator 7 and, on the other hand, overdriving of the I/Q demodulator 7 is prevented. Furthermore, the LNAs 9, 10 are driven by the automatic amplifier control 20 in such a manner that the optimum input level is present at the A/D converter 11. If the output level of the low-pass filter 8 is sufficiently high, the signal is forwarded directly to the A/D converter 11 via the bypass 14 without amplification. For this purpose, switching means, not shown in greater detail, are provided which are also driven by the automatic amplifier control 20.

A combined frequency and post-filter control drives the carrier frequency oscillator 15, the intermediate-frequency oscillator 16, the low-pass filter 8 and the digital filter 12, in such a manner that transmitted signals of a desired subfrequency band are present at the output of the digital filter 12. For this purpose, a device, not shown, of the frequency and post-filter control 18 provides both the frequency and the bandwidths of the desired subfrequency band. The signal processing controlled by the frequency and post-filter



control 18 will be discussed in greater detail below with reference to an exemplary embodiment.

The low-pass filter 8 consists of cascaded RC sections which are arranged in a common integrated circuit with the I/Q demodulator 7, the LNAs 9, 10 and the bypass 14 on one chip. The entire integrated circuit can thus be produced inexpensively in large numbers with little additional cost for the RC sections. The entire filter combination consisting of the SAW filter 4, the low-pass filter 8 and the digital filter 12 can thus be produced less expensively than in the prior art.

The receiver shown in Figure 1 can be used for filtering out subfrequency bands having channel bandwidths of 0.256 MHz, 0.512 MHz, 1.024 MHz, 2.048 MHz and 4.096 MHz, a subfrequency band having a bandwidth of 4.096 MHz corresponding to the entire receive frequency band. Since the bandwidth of all possible subfrequency bands can be achieved by dividing by means of one integral number on the receive frequency bandwidth, the hardware complexity in the digital area of the receiver can be kept down. In particular, the operating mode of the digital area can be simply adapted to a lower subfrequency bandwidth by reducing the clock rate to the corresponding fraction.

Referring to Figure 2 to Figure 6, the filtering-out of a subfrequency band in the receiver shown in Figure 1 is now explained by way of example. Figure 2 shows a receive frequency band of frequency bandwidth  $W_R$  with a total of four subfrequency bands in each case of frequency bandwidth  $W_{Sub}$ , and other frequency bands in which no transmission of transmitted signals is to be expected even if the radio channel is changed. The subfrequency bands are designated by letters a to d. In the exemplary embodiment, subfrequency band c is to be filtered out. Subfrequency band c corresponds to a

transmission channel via which, for example, voice data are transmitted from a base station to a mobile telephone. The diagram according to Figure 2 represents a snapshot. The frequency and the frequency bandwidth  $W_{\text{sub}}$  of the desired subfrequency band can change by a change in the operating situation in the entire transmission system or in part-areas of the transmission system, the UMTS in the example. In particular, subfrequency bands with different frequency bandwidths  $W_{\text{sub}}$  can also be present at another point in time within the limits of the subfrequency bands a to d in the receive frequency band.

For the sake of simplicity, the text which follows is based on the assumption that the frequency bandwidth  $W_R$  of the receive frequency band is 4 MHz, that the frequency bandwidths  $W_{\text{sub}}$  of the individual subfrequency bands are in each case 1 MHz and that the receive frequency band is transmitted to a receiver by means of a carrier frequency of 1.900 GHz. During the transmission to the receiver, the receive frequency band extends within the frequency range of 2.000 GHz to 2.004 GHz. The desired subfrequency band is within the frequency range of 2.002 GHz to 2.003 GHz.

The field strengths of the individual subfrequency bands a to d are shown in Figure 2. The diagram shows the unfavorable situation, from the point of view of processing, that the field strength of the desired subfrequency band c is less than the field strengths of the two closest adjacent subfrequency bands b, d. During the processing of the receive signal, the carrier frequency is inserted into the signal propagation path at the carrier frequency insertion point 3. It is normally attempted to insert precisely the carrier frequency known to the receiver as the carrier frequency which was used in transmitting the receive signal. In the present case, however, a receive carrier frequency is inserted which is

dematchd with respect to the transmit carrier frequency of 1.900 GHz. For this purpose, the frequency and post-filter control 18 drives the carrier frequency oscillator 15 in such a manner that it generates a receive carrier frequency of 1.902 GHz. This receive carrier frequency is inserted at the carrier frequency insertion point 3.

Due to the insertion of the receive carrier frequency and subtraction, a receive frequency band with reduced frequency is formed in which the desired subfrequency band c is within the frequency range of 100 MHz to 101 MHz.

The SAW filter 4 is set unalterably to a frequency bandwidth which corresponds to the frequency bandwidth of the receive frequency band. In the example, this is a frequency bandwidth of 4 MHz. The SAW filter 4 filters a frequency band out of the frequency band present at its input, the lower boundary value of which is equal to 100 MHz. In the present example, the SAW filter 4 thus filters out a first signal frequency band which is within the frequency range of between 100 MHz and 104 MHz. The subfrequency bands a, b are thus already no longer present in the first signal frequency band (Figure 4). The figure also shows the envelope curve of the SAW filter 4.

The receive carrier frequency was selected in such a manner that the immediately adjacent subfrequency band b with the greater field strength was split off from the desired subfrequency band c. If the field strength of the subfrequency band d had been greater than the field strength of the subfrequency band b, the receive carrier frequency would have been set to the value of 1.899 GHz so that subfrequency band b would have been split off.

The first signal frequency band is amplified by the automatic amplifier control in such a manner that the field strength of subfrequency band d corresponds to the

optimum level of the I/Q demodulator 7 at the input of the I/Q demodulator. To demodulate the receive signal or the first signal frequency band, respectively, the frequency and post-filter control 18 drives the intermediate frequency oscillator 16 in such a manner that an intermediate frequency of 100.5 MHz is provided to the I/Q demodulator 7. In general, the intermediate frequency must be selected in the exemplary embodiment in such a manner that the frequency value in the center of the desired subfrequency band is equal to the intermediate frequency. This is because, during the demodulation, a frequency baseband is generated which extends around the zero frequency value and the frequency bandwidth of which is equal to the frequency bandwidth of the first signal frequency band due to the prefiltering.

In the present exemplary embodiment, a frequency baseband is generated in which subfrequency bands which are still present and adjacent frequency ranges having level values of greater than zero are within the frequency range of between -0.5 MHz and +3.5 MHz (see Figure 5). The boundary position of the desired subfrequency band is then utilized in the next processing step. In this step, a frequency range, the value of which is below the cut-off frequency set in the low-pass filter 8, is filtered out of the frequency baseband in the low-pass filter 8. In the present case, the cut-off frequency of the low-pass filter 8 is set to a value of 0.5 MHz in that the frequency and post-filter control 18 appropriately drives the low-pass filter 8. As a result, only the desired subfrequency band c is present as rest of the frequency baseband at the output end (Figure 6). The output signal is then supplied to the LNAs 9, 10 and its level is amplified to the optimum value for the A/D converter 11.

The digitized information obtained from the desired subfrequency band c is subjected to fine filtering in the digital filter 12, corrections being performed on the digitized information in accordance with the bandwidth of the subfrequency band c in order to obtain the desired digital transmit signal. The common frequency and post-filter control 18 appropriately drives the digital filter 12 for this purpose. The digitized transmit signals are supplied to the Rake combiner 13.

In a further development of the receiver described in the exemplary embodiment, a band-pass filter or a high-pass filter is also used in addition to a low-pass filter in the post-filtering in order to filter out the subfrequency bands most closely adjacent to the desired subfrequency band or the subfrequency band most closely adjacent to the desired subfrequency band. From the signals or field strengths, respectively, of these subfrequency bands most closely adjacent or the subfrequency band most closely adjacent, a total power can be determined. The total power determined makes it possible to optimize the position of the prefilter implemented by the SAW filter 4 for the reception of the desired subfrequency band.

## Patent claims

1. A method for receiving transmitted signals which can be transmitted in various subfrequency bands (a, b, c, d) of a receive frequency band, in which

- a first signal frequency band containing the transmitted signals is filtered out by adding a carrier frequency to the receive frequency band and by prefiltering of the receive frequency band,
- a frequency baseband containing the transmitted signals is generated by adding an intermediate frequency to the first signal frequency band and by demodulating the first signal frequency band, and
- at least one second signal frequency band containing the transmitted signals is filtered out of the frequency baseband by post-filtering,

in which the carrier frequency and/or the intermediate frequency are matched to one or more filter parameters during the post-filtering in such a manner that the desired subfrequency band is available as second frequency band.

2. The method as claimed in claim 1, in which during the post-filtering, a low-pass filter (8) or a high-pass filter or a high-pass/low-pass filter combination is used, the cut-off frequency of which or the cut-off frequencies of which are matched to the carrier frequency and/or the intermediate frequency in such a manner that the cut-off

frequency or the cut-off frequencies, respectively, separate the desired subfrequency band from all neighboring frequency bands which may still be present in the frequency baseband.

3. The method as claimed in claim 1 or 2, in which the second signal frequency band is amplified after the post-filtering has been performed at least partially.

4. The method as claimed in one of claims 1 to 3, in which the carrier frequency for the prefiltering is set in such a manner that one or more neighboring frequency bands of the desired subfrequency band are already split off during prefiltering.

5. The method as claimed in one of claims 1 to 4, in which the information contained in the second signal frequency band is digitized, some of the post-filtering being performed as fine filtering of the digitized information in order to obtain the transmitted signals in digital form and the digital part of the post-filtering also being matched to the carrier frequency and/or the intermediate frequency.

6. The method as claimed in one of claims 1 to 5, in which the first signal frequency band present in analog form is first digitized and the frequency baseband is generated by digital demodulation.

7. The method as claimed in one of claims 1 to 5, in which, after the generation of the frequency baseband, a high-pass filtering or a combination of high-pass and low-pass filtering is performed in order to filter out at least one subfrequency band which is either in the positive or in the negative frequency range of the frequency baseband, and in which the subfrequency band filtered out is digitized and is transposed by digital conversion into a frequency range which contains the zero frequency value.

8. A receiver for receiving transmitted signals which can be transmitted to the receiver in various subfrequency bands (a, b, c, d) of a receive frequency band, comprising

- a first oscillator (15) for inserting a carrier frequency into a receive path of the receive frequency band,
- a prefilter (4) which is arranged in the receive path in order to filter a first signal frequency band containing the transmitted signals out of the receive frequency band with the inserted carrier frequency,
- a second oscillator (16) for inserting an intermediate frequency into a first signal path of the first signal frequency band,
- a demodulator (7) which is arranged in the first signal path in order to demodulate the signal frequency band with the inserted intermediate frequency and to



generate a frequency baseband which contains the transmitted signals, and

- a post-filter (8) which is arranged in a base path of the frequency baseband in order to filter a second signal frequency band containing the transmitted signals out of the frequency baseband, in which a common frequency and post-filter control (18) of the post-filter (8) and of the first oscillator (15) and/or the second oscillator (16) is provided in order to match the carrier frequency and/or the intermediate frequency to one or more filter parameters of the post-filter (8) in such a manner that the desired subfrequency band is available as second signal frequency band.

9. The receiver as claimed in claim 8, in which the post-filter (8) exhibits a low-pass filter or a high-pass filter or a high-pass/low-pass filter combination, the cut-off frequency and/or the cut-off frequencies of which can be matched to the carrier frequency and/or the intermediate frequency in such a manner that the cut-off frequency or cut-off frequencies separate the desired subfrequency band from all neighboring frequency bands which may still be present in the frequency baseband.

10. The receiver as claimed in claim 8 or 9, in which a second signal band amplifier (9, 10) for amplifying the second signal frequency band is arranged behind the post-filter or behind the first part (8) of the post-filter in

the direction of signal propagation in a second signal path of the second signal frequency band.

11. The receiver as claimed in claim 10, in which the second signal band amplifier (9, 10) and at least a part (8) of the post-filter are arranged in a common integrated circuit.

12. The receiver as claimed in claim 10 or 11, in which the second signal path exhibits a bypass (14) for unamplified forwarding of the second signal frequency band, which is connected in parallel with the second signal band amplifier (9, 10).

13. The receiver as claimed in one of claims 8 to 12, in which the demodulator (7) and at least a part (8) of the post-filter are arranged in a common integrated circuit.

14. The receiver as claimed in one of claims 8 to 13, in which an analog/digital converter (11) is provided in the second signal path in order to digitize the information present in the second signal frequency band, in which a digital filter (12) for filtering the transmitted signals out of the digitized information is provided behind the analog/digital converter (11) in the direction of signal propagation and in which the digital

filter (12) can also be driven by the common frequency and post-filter control.

15. The receiver as claimed in one of claims 8 to 13, in which an analog/digital converter is arranged behind the prefilter and in front of the demodulator in the direction of signal propagation and in which the demodulator and the post-filter are constructed for digital signal processing.

## Abstract

## Receive frequency band filtering

The invention relates to the filtering of a subfrequency band out of a receive frequency band, in which a carrier frequency for prefiltering the receive frequency band and an intermediate frequency for demodulating the frequency band filtered out during the prefiltering and for generating a frequency baseband are inserted into the signal propagation path, in which the desired subfrequency band is filtered out of the frequency baseband by a post-filtering and in which the carrier frequency, the intermediate frequency and the post-filtering are matched to one another.

## Figure 1

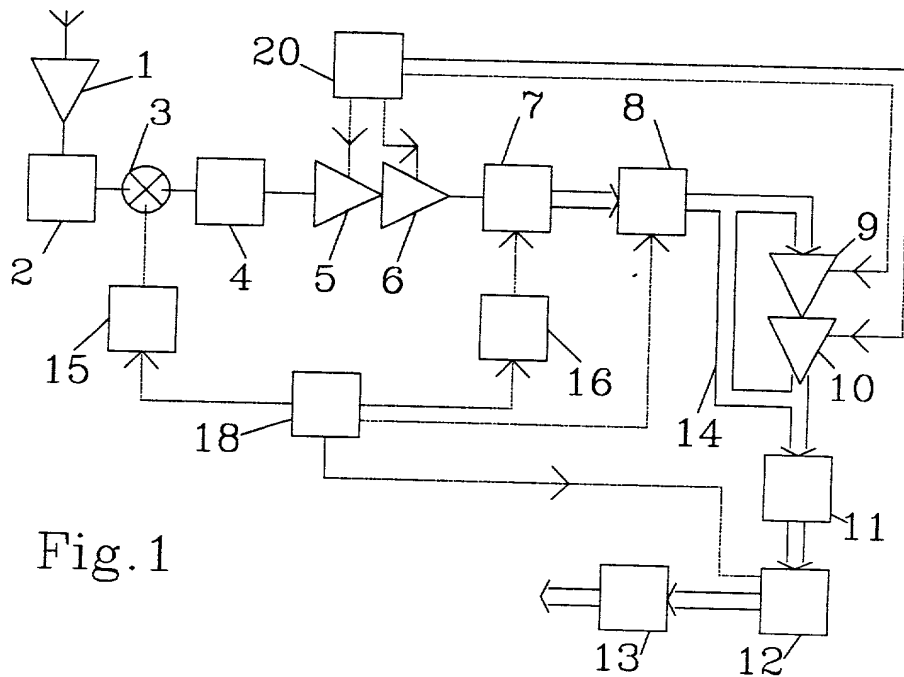


Fig. 1

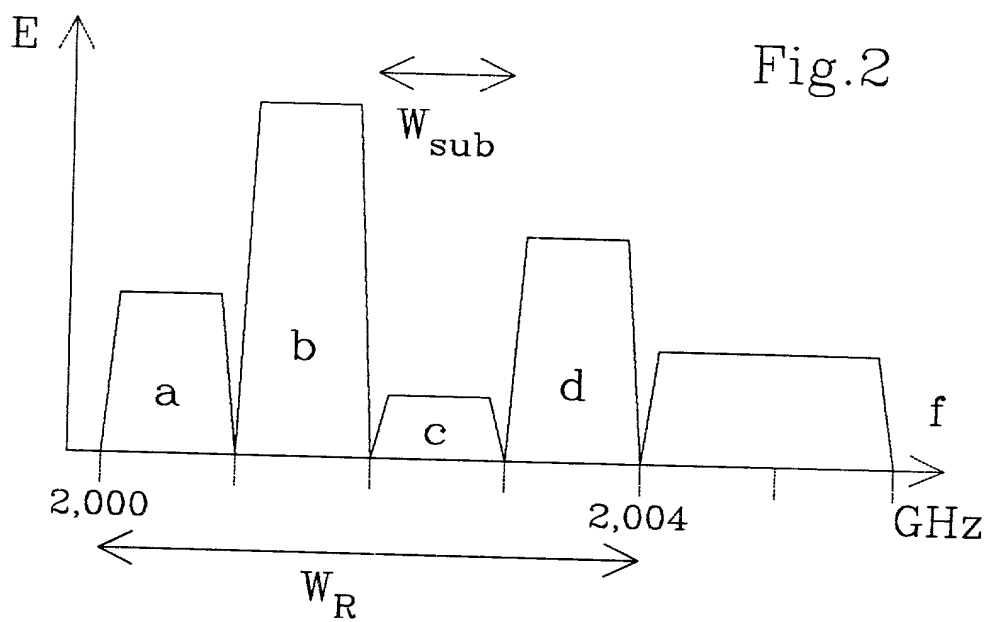
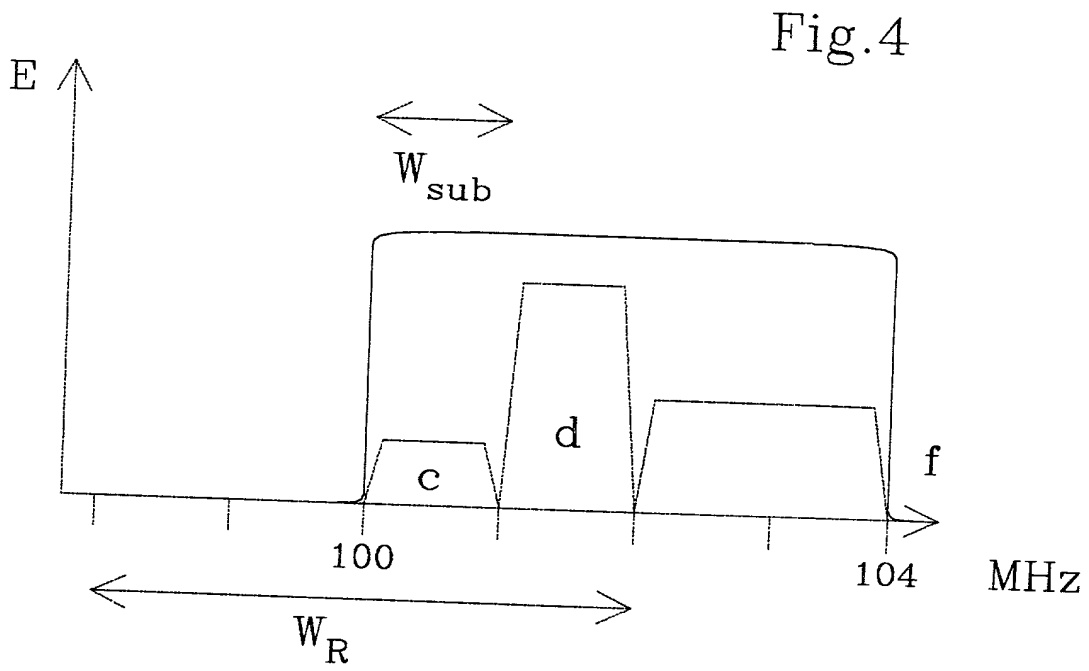
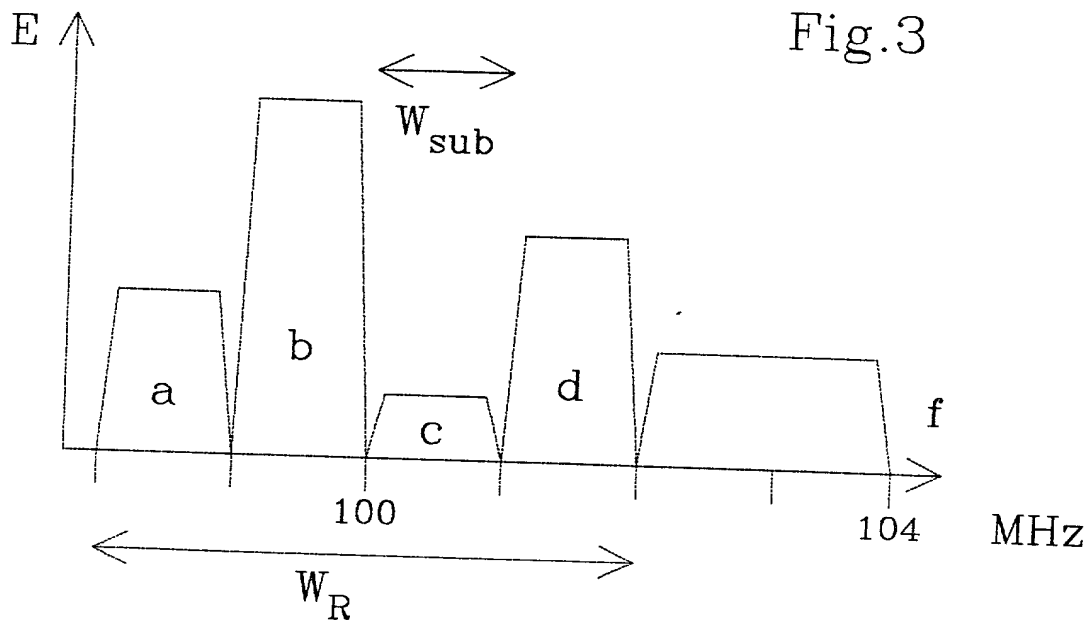


Fig.2

2/3



3/3

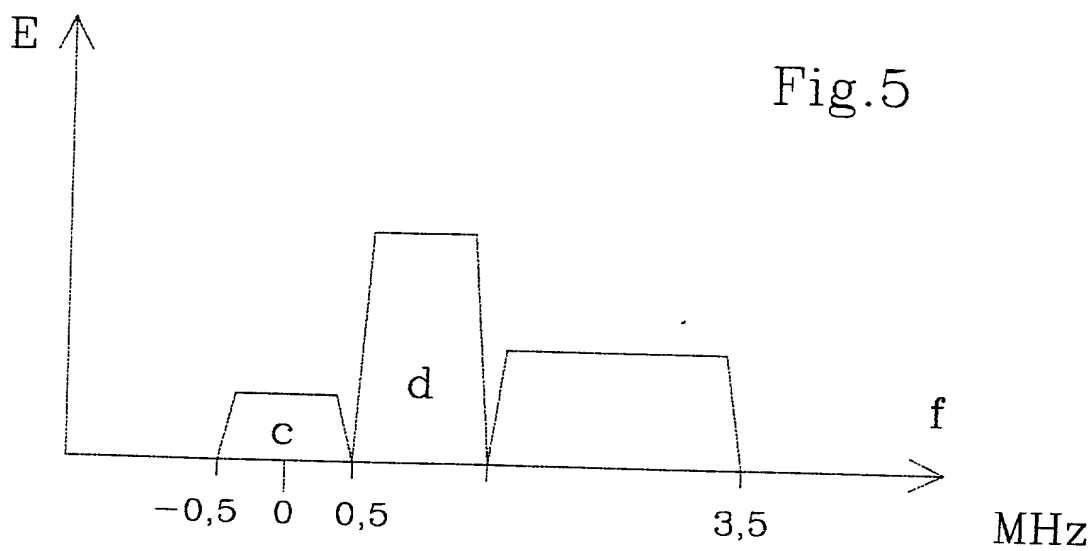
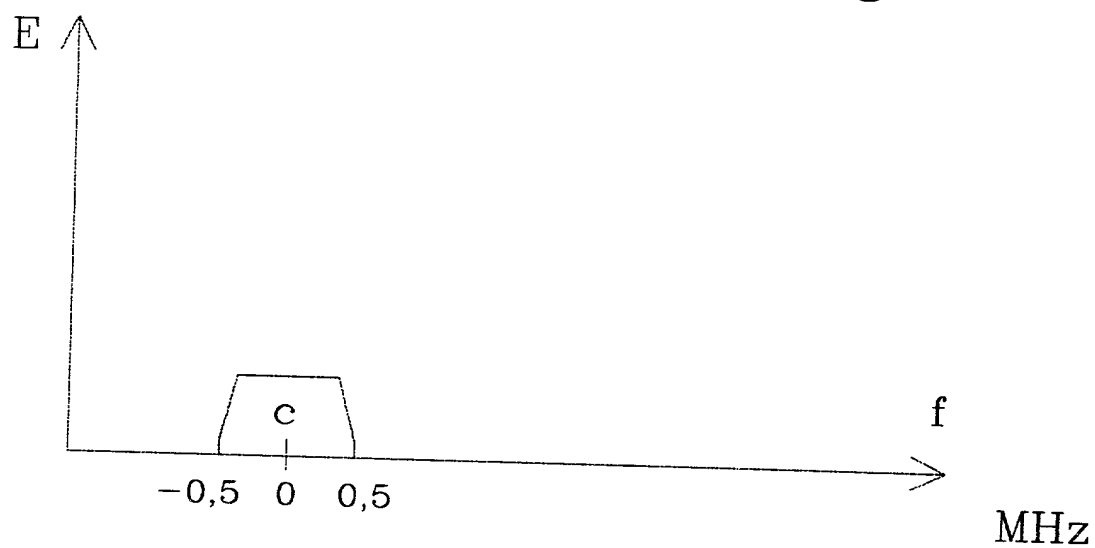


Fig.6



# Declaration and Power of Attorney For Patent Application

## *Erklärung Für Patentanmeldungen Mit Vollmacht*

### German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

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#### Empfangsfrequenzbandfilterung

deren Beschreibung

(zutreffendes ankreuzen)

☐ hier beigefügt ist.

☒ am 16.09.1999 als

PCT internationale Anmeldung

PCT Anmeldungsnummer PCT/DE99/02960

eingereicht wurde und am \_\_\_\_\_  
abgeändert wurde (falls tatsächlich abgeändert).

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### Filtering of a receive frequency band

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 16.09.1999 as

PCT international application

PCT Application No. PCT/DE99/02960

and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

T0620" T490860



# German Language Declaration

Prior foreign applications  
Priorität beansprucht

Priority Claimed

19845054.0

DE

30.09.1998

☒

☐

(Number)  
(Nummer)

(Country)  
(Land)

(Day Month Year Filed)  
(Tag Monat Jahr eingereicht)

Yes  
Ja

No  
Nein

(Number)  
(Nummer)

(Country)  
(Land)

(Day Month Year Filed)  
(Tag Monat Jahr eingereicht)

☐  
Yes  
Ja

☐  
No  
Nein

(Number)  
(Nummer)

(Country)  
(Land)

(Day Month Year Filed)  
(Tag Monat Jahr eingereicht)

☐  
Yes  
Ja

☐  
No  
Nein

Ich beanspruche hiermit gemäss Absatz 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmeldungen und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 122 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmeldedatum der früheren Anmeldung und dem nationalen oder PCT internationalen Anmeldedatum dieser Anmeldung bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §122, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

PCT/DE99/02960

16.09.1999

(Application Serial No.)  
(Anmeldeseriennummer)

(Filing Date D, M, Y)  
(Anmeldedatum T, M, J)

(Status)  
(patentiert, anhängig,  
aufgegeben)

(Status)  
(patented, pending,  
abandoned)

(Application Serial No.)  
(Anmeldeseriennummer)

(Filing Date D,M,Y)  
(Anmeldedatum T, M, J)

(Status)  
(patentiert, anhängig,  
aufgeben)

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(patented, pending,  
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Ich erkläre hiermit, dass alle von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und dass ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, dass wissentlich und vorsätzlich falsche Angaben gemäss Paragraph 1001, Absatz 18 der Zivilprozessordnung der Vereinigten Staaten von Amerika mit Geldstrafe belegt und/oder Gefängnis bestraft werden koennen, und dass derartig wissentlich und vorsätzlich falsche Angaben die Gültigkeit der vorliegenden Patentanmeldung oder eines darauf erteilten Patentes gefährden können.

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09806341-032901

## German Language Declaration

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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

And I hereby appoint

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09806341 032501

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